

Carefully read Item 4 and Item 5 of Form ADV Part 2A (“Brochure”), as these sections of the Brochure contain important details about Northbrook Financial advisory services and fees. Fees may be negotiable. The fees below will only apply to you when you request the services listed.

Fees Charged by Investment Adviser	Fee Amount		Frequency Fee is Charged	Services
Assets Under Management Fee	\$0 - \$1,000,000 \$1,000,001 - \$2,000,000 \$2,000,001 and Above	1.00% 0.75% 0.50%	monthly or quarterly in arrears	portfolio management services for individuals and high net-worth individuals
Assets Under Management Fee	\$0 - \$3,000,000 \$3,000,001 - \$5,000,000 \$5,000,001 - \$10,000,000 \$10,000,000 and Above	0.50% 0.40% 0.30% 0.20%	quarterly in arrears	Employee Benefit Plan services
Hourly Fee	N/A	N/A	N/A	N/A
Subscription Fee	\$200 to \$2,000 \$200 - \$5,000		Once at start of engagement Monthly in arrears	Ongoing Financial Planning
Subscription Fee	\$1,000 to \$10,000 \$500		Once at start of engagement Monthly in arrears	Non discretionary investment management and ongoing comprehensive financial planning
Subscription Fee	\$1,000 \$3,600 - \$4,800		Once at start of engagement Monthly in arrears	Financial planning & tax preparation
Subscription Fee	\$1,000 \$3,600 - \$4,800		Once at start of engagement Monthly in arrears	Financial planning, tax preparation, and investment management
Fixed Fee	\$600 and \$10,000		Once at start of engagement	Estate Planning
Fixed Fee	\$600 - \$2,000		Once at start of engagement	College Funding Plan
Fixed Fee	\$0 - \$2,000		Half upfront and Half at the completion of the engagement	speaking engagements
Commissions to the Adviser	N/A	N/A	N/A	N/A
Performance-based Fee	N/A	N/A	N/A	N/A
Fees Charged by Third Parties	Fee Amount		Frequency Fee is Charged	Services
Third Party Money Manager	N/A	N/A	N/A	N/A
Robo-Adviser Fee	N/A	N/A	N/A	N/A
Talk with your Adviser about fees and costs applicable to you				

Effective October 11, 2022

Additional fees and costs to discuss with your Adviser

Additional Fees/Cost	Yes/No	Paid To
Brokerage Fees	No	n/a
Commissions	No	n/a
Custodian Fees	Yes	Custodian
Mark-ups	No	n/a
Mutual Fund/ETF Fees and Expenses	Yes	Mutual Fund/ETF Company



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Table of Fees for Services