

Table of Fees for Services

Carefully read Item 4 and Item 5 of Form ADV Part 2A (“Brochure”), as these sections of the Brochure contain important details about Northbrook Financial Planning, LLC (“Northbrook Financial”) advisory services and fees. Fees may be negotiable. The fees below will only apply to you when you request the services listed.

Fees Charged by Northbrook Financial	Fee Amount	Frequency Fee is Charged	Services
A percentage of assets under your management	0.20% - 1.00% on all assets under management	Monthly or Quarterly in arrears	portfolio management services for individuals and high net-worth individuals and Employee Benefit Plan Services
Hourly Fee	N/A	N/A	N/A
Subscription fees (for a newsletter or periodical)	N/A	N/A	N/A
Fixed fees (other than subscription fees)	\$200 - \$2,000 \$200 to \$5,000	Once at the start of the engagement. Monthly in arrears	Ongoing Financial Planning
	\$1,000 - \$10,000 \$500	Once at the start of the engagement. Monthly in arrears	Non discretionary investment management and ongoing comprehensive financial planning
	\$1,000 \$3,600 - \$4,800	Once at start of engagement Monthly in arrears	Financial planning, tax preparation, and investment management
	\$600 - \$10,000	Once at start of engagement	Estate Planning
	\$600 - \$2,000	Once at start of engagement	College Funding Plan
	\$0 - \$2,000	Half upfront and Half at the completion of the engagement	speaking engagements
Commissions	N/A	N/A	N/A
Performance-based fees	N/A	N/A	N/A
Fees Charged by Third Parties	Fee Amount	Frequency Fee is Charged	Services
Third Party Money Manager	0% - .25% on all assets under management	Quarterly in arrears	Third party Portfolio management for individuals and/or small businesses; Financial planning services
Robo-Adviser Fee	N/A	N/A	N/A
Talk with your Adviser about fees and costs applicable to you			

Additional fees and costs to discuss with your Adviser

Additional Fees/Cost	Yes/No	Paid To
Brokerage Fees	Yes	Charles Schwab & Co., Inc., Betterment
Commissions	Yes	Charles Schwab & Co., Inc., Betterment
Custodian Fees	Yes	Charles Schwab & Co., Inc., Betterment, Altruist, Pontera
Mark-ups	Yes	Charles Schwab & Co., Inc., Betterment
Mutual Fund/ETF Fees and Expenses	Yes	Mutual Fund/ETF Company

Effective: January 24, 2024